

| General information about company | |
|--|-----------------------|
| Scrip code | 539773 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE178TO1024 |
| Name of the company | ADVIK CAPITAL LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2021 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)? | No |

| Declaration | | | | | |
|--------------------|--|--------|-----------------------------|--------------------|-------------------------|
| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | | |
|--|--------------------------------|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------|
| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 2 | 20820600 | | | 20820600 | 45.39 | 20820600 | | 20820600 | 45.39 |
| (B) | Public | 2258 | 25053000 | | | 25053000 | 54.61 | 25053000 | | 25053000 | 54.61 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 2260 | 45873600 | | | 45873600 | 100 | 45873600 | | 45873600 | 100 |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | |
|---|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 45.39 | | | | | 20820600 |
| (B) | Public | | | | 54.61 | | | | | 25035000 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 45855600 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
|---|---|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------------------|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 2 | 20820600 | | | 20820600 | 45.39 | 20820600 | | 20820600 | 45.39 |
| Sub-Total (A)(1) | | 2 | 20820600 | | | 20820600 | 45.39 | 20820600 | | 20820600 | 45.39 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 2 | 20820600 | | | 20820600 | 45.39 | 20820600 | | 20820600 | 45.39 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (e) | Foreign Portfolio Investors | 2 | 2003000 | | | 2003000 | 4.37 | 2003000 | | 2003000 | 4.37 |
| Sub-Total (B)(1) | | 2 | 2003000 | | | 2003000 | 4.37 | 2003000 | | 2003000 | 4.37 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 2166 | 5727311 | | | 5727311 | 12.48 | 5727311 | | 5727311 | 12.48 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 19 | 10435975 | | | 10435975 | 22.75 | 10435975 | | 10435975 | 22.75 |
| (e) | Any Other (specify) | 71 | 6886714 | | | 6886714 | 15.01 | 6886714 | | 6886714 | 15.01 |
| Sub-Total (B)(3) | | 2256 | 23050000 | | | 23050000 | 50.25 | 23050000 | | 23050000 | 50.25 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 2258 | 25053000 | | | 25053000 | 54.61 | 25053000 | | 25053000 | 54.61 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 2260 | 45873600 | | | 45873600 | 100 | 45873600 | | 45873600 | 100 |
| Total (A+B+C) | | 2260 | 45873600 | | | 45873600 | 100 | 45873600 | | 45873600 | 100 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
|---|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 45.39 | | | | | 20820600 |
| Sub-Total (A) (1) | | | | 45.39 | | | | | 20820600 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 45.39 | | | | | 20820600 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (e) | | | | 4.37 | | | | | 2003000 |
| Sub-Total (B) (1) | | | | 4.37 | | | | | 2003000 |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 12.48 | | | | | 5709311 |
| (a(ii)) | | | | 22.75 | | | | | 10435975 |
| (e) | | | | 15.01 | | | | | 6886714 |
| Sub-Total (B) (3) | | | | 50.25 | | | | | 23032000 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 54.61 | | | | | 25035000 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 45855600 |
| Total (A+B+C) | | | | 100 | | | | | 45855600 |

| Individuals/Hindu undivided Family | | | |
|--|------------------------|----------------------|---------------------------------------|
| Serial No. | 1 | 2 | |
| Name of the Shareholders (I) | VIRENDER KUMAR AGARWAL | SHAKUL KUMAR AGARWAL | Click here to go back |
| PAN (II) | AAGPA7283H | AJAPA7266M | Total |
| No. of fully paid up equity shares held (IV) | 17236600 | 3584000 | 20820600 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 17236600 | 3584000 | 20820600 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 37.57 | 7.81 | 45.39 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg:X | 17236600 | 3584000 | 20820600 |
| Class eg:y | | | |
| Total | 17236600 | 3584000 | 20820600 |
| Total as a % of Total Voting rights | 37.57 | 7.81 | 45.39 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 37.57 | 7.81 | 45.39 |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | |
| No. (a) | | | |
| | | | |

| | | | |
|---|----------|----------|----------|
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 17236600 | 3584000 | 20820600 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |
| Shareholder type | Promoter | Promoter | |

| Foreign Portfolio Investors | | |
|--|-----------------------------------|---------------------------------------|
| Serial No. | 1 | |
| Name of the Shareholders (I) | LGOF GLOBAL OPPORTUNITIES LIMITED | Click here to go back |
| PAN (II) | AACCL7654E | Total |
| No. of fully paid up equity shares held (IV) | 1703000 | 1703000 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1703000 | 1703000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.71 | 3.71 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 1703000 | 1703000 |
| Class eg:y | | |
| Total | 1703000 | 1703000 |
| Total as a % of Total Voting rights | 3.71 | 3.71 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 3.71 | 3.71 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 1703000 | 1703000 |

| | | |
|------------------------------|--|--|
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | | | | | |
|--|----------------------|------------|-------------|---------------------|-------------------|------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 |
| Name of the Shareholders (I) | SUDIPTA KUMAR BISWAS | VIKAS GARG | BHARTI GARG | VINOD KUMAR AGARWAL | NUZHAT ALIMAHOMED | RAHUL GARG |
| PAN (II) | AILPB0705A | AAAPG8241P | AZAPG3478M | ACZPA7471L | AALPA3832D | BAOPG2140J |
| No. of fully paid up equity shares held (IV) | 2238486 | 1260628 | 990000 | 532000 | 500000 | 497025 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 2238486 | 1260628 | 990000 | 532000 | 500000 | 497025 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 4.88 | 2.75 | 2.16 | 1.16 | 1.09 | 1.08 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 2238486 | 1260628 | 990000 | 532000 | 500000 | 497025 |
| Class eg:y | | | | | | |
| Total | 2238486 | 1260628 | 990000 | 532000 | 500000 | 497025 |
| Total as a % of Total Voting rights | 4.88 | 2.75 | 2.16 | 1.16 | 1.09 | 1.08 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 4.88 | 2.75 | 2.16 | 1.16 | 1.09 | 1.08 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in | 2238486 | 1260628 | 990000 | 532000 | 500000 | 497025 |

| | | | | | | |
|------------------------------|--|--|--|--|--|--|
| dematerialized form (XIV) | | | | | | |
| Reason for not providing PAN | | | | | | |
| Reason for not providing PAN | | | | | | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | |
|--|---------------------------------------|
| Serial No. | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of fully paid up equity shares held (IV) | 6018139 |
| No. Of Partly paid-up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 6018139 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 13.12 |
| Number of Voting Rights held in each class of securities (IX) | |
| Class eg: X | 6018139 |
| Class eg:y | |
| Total | 6018139 |
| Total as a % of Total Voting rights | 13.12 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 13.12 |
| Number of Locked in shares (XII) | |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of equity shares held in dematerialized form (XIV) | 6018139 |

| | |
|------------------------------|--|
| Reason for not providing PAN | |
| Reason for not providing PAN | |

| Any Other (specify) | | | | | | | |
|--|--|--|---|--|------------------|---------------------------|----------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Non-Resident Indian (NRI) | HUF |
| Category / More than 1 percentage | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | Category | Category | Category |
| Name of the Shareholders (I) | ALTOLITE ELECTRO SIGNS PRIVATE LIMITED | ARROW SIGNS PVT LTD | STEPPING STONE CONSTRUCTION PRIVATE LIMITED | MITHUN SECURITIES PRIVATE LIMITED | | | |
| PAN (II) | AAJCA2091F | AAJCA2087P | AAWCS8320E | AAACM5632M | | | |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 16 | 8 | 25 |
| No. of fully paid up equity shares held (IV) | 1563249 | 1535484 | 1436420 | 530612 | 750684 | 36802 | 806743 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1563249 | 1535484 | 1436420 | 530612 | 750684 | 36802 | 806743 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.41 | 3.35 | 3.13 | 1.16 | 1.64 | 0.08 | 1.76 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 1563249 | 1535484 | 1436420 | 530612 | 750684 | 36802 | 806743 |
| Class eg:y | | | | | | | |
| Total | 1563249 | 1535484 | 1436420 | 530612 | 750684 | 36802 | 806743 |
| Total as a % of Total Voting rights | 3.41 | 3.35 | 3.13 | 1.16 | 1.64 | 0.08 | 1.76 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share | 3.41 | 3.35 | 3.13 | 1.16 | 1.64 | 0.08 | 1.76 |

| | | | | | | | |
|--|---------|---------|---------|--------|--------|-------|--------|
| capital) (XI)= (VII)+(X) As a % of (A+B+C2) | | | | | | | |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 1563249 | 1535484 | 1436420 | 530612 | 750684 | 36802 | 806743 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

| Any Other (specify) | | |
|--|------------------|---------------------------------------|
| Serial No. | 8 | |
| Category | Clearing Members | |
| Category / More than 1 percentage | Category | |
| Name of the Shareholders (I) | | Click here to go back |
| PAN (II) | | Total |
| No. of the Shareholders (I) | 18 | 67 |
| No. of fully paid up equity shares held (IV) | 226720 | 1820949 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 226720 | 1820949 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.49 | 3.97 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 226720 | 1820949 |
| Class eg:y | | |
| Total | 226720 | 1820949 |
| Total as a % of Total Voting rights | 0.49 | 3.97 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0.49 | 3.97 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |

| | | |
|---|--------|---------|
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 226720 | 1820949 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence