

Advik Capital Ltd.

(Formerly known as Advik Industries Limited)

CIN : L74899DL1985PLC022505

Regd. Office: Plot No-84, Khasra No.143/84, Ground Floor

Extended Lal Dora, Kanjhawla, Delhi-110081

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www.advikgroup.com/ail



Date: 17-04-2021

To
Listing Department
BSE Limited
Floor 25, P J Towers, Dalal Street, Mumbai-400001

Scrip Code: 539773

**Subject: Submission of Certificate under Regulation 40(9) of SEBI (LODR) Regulation, 2015
for the Financial Year ended on 31st March, 2021**

Please find enclosed herewith the:

**Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements)
Regulation, 2015 for the financial year ended on 31st March, 2021.**

You are requested to record and acknowledge the same.

Thanking You

For ADVIK CAPITAL LIMITED

A handwritten signature in black ink, appearing to read 'Virender Kumar Agarwal', written over a horizontal line.

Virender Kumar Agarwal

Managing Director cum Compliance Officer

DIN: 00531255

H-107, AravaliKunj,

Plot No-44, Sector-13,

Rohini 110085,

Delhi

A. K. Verma & Co.

Company Secretaries

To
The Board of Directors
AdvikCapital Limited
Plot No. 84, Khasra No. 143/84, Ground Floor,
Extended Lal Dora, Kanjhawla
Delhi-110081
BSE ID: ADVIKCA
SCRIP CODE: 539773

SUB: CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATION 2015 FOR THE HALF YEAR ENDED ON 31st MARCH, 2021.

On the Basis of the information and certificate given by M/s. *Skyline Financial Services Private Limited* (RTA) under regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation 2015 relating to Transfer Deeds, Memorandum of Transfers, Registers, files and pertaining to transfer of equity shares of the company for the period from *1st October, 2020 to 31st March, 2021*, for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation 2015 entered into by, "Advik Capital Limited"(the Company) with BSE and based on the information provided by the Company, and after examination of the same, We hereby certified that the Company has delivered during half year ended on *31st March, 2021*:

- A) A) Share/ Debenture Certificate(s) relating to the transfer of Shares/ Debentures received during the period from *1st October, 2020 to 31st March, 2021* as entered in the Memorandum of Transfers have been issued **within fifteen days** as required under Regulation 40(3) of the **SEBI (LODR) Regulations, 2015** of the date of lodgment for transfer.

And Share Certificate relating to sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies **within thirty days of the** date of lodgment for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds as required under Regulation 40(9) of the SEBI (LODR) Regulations, 2015.

Place: New Delhi
Date: 17/04/2021

Signature: For A.K.VERMA & CO.
COMPANY SECRETARIES



Ashok Kumar Verma
Partner
FCS No: 3945
C.P No: 2568

UDIN NO : F003945C000118521

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