

ADVIK CAPITAL LIMITED

(Formerly known as Advik Industries Limited)

CIN : ~~L74899DL1985PLC022505~~ L65100DL1985PLC022505

Regd. Office: Advik House, Plot No-84, Khasra No.143/84,
Extended Lal Dora, 100 ft Road, Kanjhawala, Delhi-110081

E-mail: Info@advikgroup.com | Tel: +91-11-25952595

Fax : +91-11-25952525 | Help Line : +91-9136311500

www.advikgroup.com/all



Date:12.04.2018

To
Corporate Relationship Department
1st Floor, Rotunda Bulding,
PJ Towers, Dalal Street,

Subject: Intimation under Regulation 30(1), 30(11), 30(12) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for information of the Investors

Please find enclosed herewith the:

Submission of document related to the Disclosure under Regulation 30(1), 30(11), 30(12) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 which is material in the opinion of the Board of Directors of the Company for information of the Investors

You are requested to record and acknowledge the same.

Thanking You

For ADVIK CAPITAL LIMITED

Ritika Priyam

Company Secretary and Compliance Officer

M.No.: A53502

ADVIK CAPITAL LIMITED

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CIN : ~~L74800DL1085PLC022505~~

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Advik

Think Innovative
Date : 11th April, 2018

BSE Limited
Corporate Relationship Department
1st Floor, Rotunda Building,
PJ Towers, Dalal Street,
Mumbai- 400001

Dear Sir/ Ma'am,

**SUB: Intimation under Regulation 30(1), 30(11) and 30(12) of SEBI (LODR), 2015 for
information of the Investors**

Scrip Code: 539773

In terms of Regulation 30(1), 30(11) and 30(12) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [LODR] , this is for general information of the Investors of "Advik Capital Limited" that the Company being a Listed Non-Banking Financial Company (NBFC) bearing RBI Registration No. 14.00724 has complied with all the applicable provisions of the RBI Act, 1934 and various Directions, Master Circulars and Notifications issued by the RBI thereto while conducting the business as a Non- Banking Financial Company.

In this regard, we would like to submit that in compliance with the Directions issued by RBI Circular No. DNBS (PD).CC 48/10.42/2004-05, Dated 21.02.2005 , the Company in its 4th Executive Committee Meeting duly held on 18.11.2016 had appointed **Mr. Virendra Kumar Agarwal (Managing Director of the Company)** as **Principal Officer** in order to monitor , comply, put in place a system for proper maintenance and preservation of accounts information and to report the internal information relating to suspicious and cash transaction to the Director of Financial intelligence Unit (FIU-IND) and to file, submit the data, information, record, returns pertaining to CTR and STR within the stipulated time period and duly comply all the attracted law relating to it.

The Company has duly intimated the appointment of the Principal Officer vide letter dated 05.12.2016 to the **Director, Financial Intelligence Unit-India, Ministry of Finance 6th Floor, Hotel Samrat, Kautliya Marg, Chanakyapuri , New Delhi- 110021** together with the relevant annexures.

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Further, in order to ensure transparency of operations and good corporate governance, the Company had registered the Principal Officer with FIU-IND in compliance with other provisions of the Prevention of Money Laundering Act (PMLA) and the rules framed there under to the Respected Ministry of Finance vide letter dated 9th April, 2018 along with the following documents:

- Copy of resolution for appointment of Principal Officer
- Copy of letter dated 05.12.2016 submitted to the Director, (FIU-IND) for Submission of Board Resolution for appointment of Principal Officer under PMLA Act ,2002 and postal receipt for the same.
- Detail of FIU-IND Registration.
- Copy of document relating to FIN net Gateway (Principle Officer Registration Request Form) for Appointment of Principal Officer of the Company.
- The Company had submitted Principal Officer Registration Request Form to the Concerned Official of FIU-IND via mail dated 13.03.2018 for providing login credentials of FIU-IND.

Further we wish to inform you that our Company is not involved in any unethical activities and is not indulged in Money Laundering. We have a proper code for insider trading to manage and control the trading of shares under the provisions of SEBI (Prohibition of Insider Trading) Regulations, 2015 and complying the same. It is also available on the website of the Company i.e www.advikgroup.com/ail.

Therefore, in the interest of investors at large, the Company hereby informs that it is in compliance with all the applicable provisions of the RBI Act, and various Directions, Master Circulars and Notifications issued by the RBI thereto while conducting the business of Non- Banking Financial Company within the time period specified therein and further assures to continue the same in future.

Kindly find the above submission/information in order and consider the same as an official communication of the Compliance Status of the Company for information to all the stakeholders.

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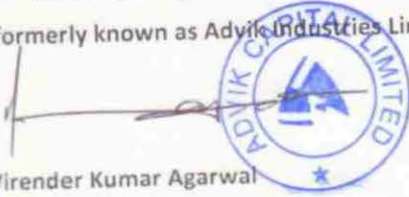
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Thanking You

FOR ADVIK CAPITAL LIMITED

(formerly known as Advik Industries Limited)



Virender Kumar Agarwal

Managing Director

Din: 00531255